



## Gifts of Stocks

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Giving stock you have held for more than one year is a tax-efficient way to make a charitable gift. You receive an income tax deduction for the fair market value of your stock on the date of your gift and avoid all capital gains taxes that would have been due if you sold the stock.

We typically hold assets contributed to the Donor Advised Fund Program until we discuss the investment policy with the financial advisor for the account.

There are two main ways to make a stock gift to the American Heart Association Donor Advised Fund Program: by electronic transfer or by mailing your certificates. Electronic transfer works much faster.

### Electronic Transfer

1. Provide written instructions for your broker to make a transfer to Renaissance Charitable Foundation Inc. specifying the name of the stock and the number of shares you are giving. If you instruct your broker verbally, we strongly recommend you follow up in writing. The American Heart Association Donor Advised Fund is a donor advised fund program sponsored and managed by Renaissance Charitable Foundation (RCF), an independent public charity dedicated to helping individuals effectively reach their philanthropic goals.
2. Your broker will need the following routing information to transfer stock into the Renaissance Charitable Foundation's account:  
**DTC # 0221**  
**Firm: UBS Financial Services**  
**Address: 2555 E. Camelback Rd. Ste 600**  
**Phoenix, AZ 85016**  
**Further Credit to: Renaissance Charitable Foundation, Inc. Account Number: UX52396**
3. Please instruct your broker to include your name and contact information with the transfer. Also, please let us know about your intended gift by entering it on the web portal at <https://heart.donorfirstx.com>, so that your account is credited promptly and you receive a tax receipt in January following your contribution date.

### By Mail for Stock Certificates

1. **DO NOT** sign the back of your certificate(s). Doing so will delay the date of your gift until the certificates are transferred into our name on the company's records.
2. Complete the attached Stock Power and Waiver form for each stock certificate being contributed. The name in all Sections of each form must precisely match the name on each certificate.
3. Send all stock certificates in one mailing. In a separate mailing, send the completed Stock Power and Waiver forms to:  
**UBS Financial Services**  
**RE: American Heart Association DAF Program**  
**2555 E. Camelback Rd. Ste 600**  
**Phoenix, AZ 85016**

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Please **DO NOT** make the gift anonymously.

### Stock Valuation

1. The date of your gift is the date on which you give up control. In most cases, this is the date you transfer the shares electronically into Renaissance Charitable Foundation's account or the postmark date on which you mail your certificates, stock powers, stock waivers, and/or Letters of Authorization to Renaissance Charitable Foundation. In some cases, the date of gift is delayed until the company's transfer agent changes their official records to reflect ownership of the shares by Renaissance Charitable Foundation.
2. In most cases, the tax-deductible value of your gift is the average of the highest and lowest trading prices on the date of your gift, multiplied by the number of shares given.

Visit [www.heart.org/donoradvisedfund](http://www.heart.org/donoradvisedfund) or call **800-584-8946**.



**Stock/Bond Power**

Mail ORIGINAL, completed form (along with certificates) to:  
 UBS Financial Services  
 2555 E. Camelback Rd. Ste 600  
 Phoenix, AZ 85016

**UBS Financial Services**

Member FINRA and SIPC

Account #	UX52396
Rep Code	

Use this form to transfer ownership of a stock/bond certificate currently held with CISC. If this form is completed, it is not necessary to endorse the back of the stock/bond certificates(s).

**TRANSFEROR INFORMATION - NAME ON REGISTERED CERTIFICATE**

Transferor (Current Owner) Name	
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**RECIPIENT INFORMATION - NAME ON BROKERAGE ACCOUNT**

Are Transferor registration and Recipient registration the same?    Yes    No (If no, refer to important information comment below)  
   

**IMPORTANT INFORMATION, PLEASE READ:** A Stock Waiver is required if the Transferor Information and Recipient Information do not match. Additional documentation may also be required depending on the registration to verify the authorized signatory(ies) (e.g., a registration in the name of a Corporation requires a Corporate Resolution).

Recipient (New Owner) Name	RENAISSANCE CHARITABLE FOUNDATION INC.
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**STOCK/BOND CERTIFICATE INFORMATION**

If STOCK, complete this section

Number of Shares	Class of Stock (e.g. Common, etc.)
Full Name of Stock	Certificate Number(s)

If BONDS, complete this section

Number of Bonds (face amount)	Full Name of Bond
Principal Dollar Amount	CUSIP Number(s)

**TRANSFEROR SIGNATURE(S)**

FOR VALUE RECEIVED, the undersigned does (do) hereby sell, assign, and transfer to the recipient indicated above. The above-referenced stock/bond stands in the name of the undersigned on the books of said Company. The undersigned does(do) hereby irrevocably constitute and appoint J.P. Morgan Clearing Corp. attorney to transfer said stock or bond(s), as the case may be, on the books of said Company with full power of substitution in the premises.

Transferor (Current Owner) Signature	Date
Transferor (Current Owner) Signature	Date



**IMPORTANT, PLEASE READ CAREFULLY**

The signatures on this assignment must (1) correspond with the name(s) as written upon the face of the certificate, in every particular without alteration or enlargement, or any change whatsoever; (2) if signed in representation of Stockholder/ Bondholder, indicate the capacity of the signer in the space provided below (e.g., Executor, Administrator, etc.); (3) be guaranteed by a financial institution who is a member of an approved Medallion Guarantee program.

If applicable, indicate capacity of signer (e.g., Executor, Administrator, etc.)

Signature

**Signature Verification - To be completed by Investment representative only if Medallion Stamp is not applied.**

Investment Representative signature for client verification  
How was authentication performed?

Driver's License     Investment Account Application

Other (please specify)

Representative must sign indicating that signature verification has been performed for all signatures on this form.

Investment Representative Signature

Date

Investment Representative Name (Please Print)

Investment Representative Telephone Number



### Stock Waiver

Mail ORIGINAL, completed form (along with certificates) to:

UBS Financial Services  
2555 E. Camelback Rd. Ste 600  
Phoenix, AZ 85016

### UBS Financial Services

Member FINRA and SIPC

Account #	UX52396
Rep Code	

Use this form to assign a stock certificate to a third party.

### ACCOUNT HOLDER (RECIPIENT) INFORMATION

Account Holder Name	RENAISSANCE CHARITABLE FOUNDATION INC.
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### DONOR INFORMATION

Certificate Registration - exactly as it appears on the certificate (e.g., John Public)

Donor Social Security or Tax ID#		
Certificate Description (e.g., IBM)	Total Share Amount (e.g., 200)	

### DONOR SIGNATURE(S)

I/We, whose signature(s) appear below, deliver herewith to Chase Investment Services Corp. (CISC) the above-named Certificate duly endorsed on the back or on a signed Stock Power, and authorize CISC to place the securities evidenced by the Certificate in the brokerage account listed above. CISC may henceforth, for all purposes whatsoever, treat the Recipient as the absolute owner of said securities and of all proceeds resulting therefrom. I/We intend this to be a full release of all claims of ownership I/we might have to the securities, in favor of the Recipient. CISC shall not be responsible to me/us in any way for the profits, proceeds or use thereof and I/we agree to indemnify CISC and hold CISC harmless from any loss and/or liability in connection therewith.

Donor Signature		Date	
Donor Signature		Date	



American Heart Association®

# Donor Advised Fund

Stock Certificate Transfer **FORM**

**Return completed form to:**

UBS Financial Services  
RE: American Heart Association DAF Program  
2555 E. Camelback Rd. Ste 600  
Phoenix, AZ 85016

**If questions:**

Call: 800-584-8946 or

Email: [heart@reninc.com](mailto:heart@reninc.com)

or visit our website at:

[www.heart.org/donoradvisedfund](http://www.heart.org/donoradvisedfund)